

07-13-01

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FORM PTO-1082

Express Mail EL 904 934 997 US

PATENT

Atty. Docket No. 33542-4003

Date: July 11, 2001

UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL

Box PATENT APPLICATION
 COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Linkai BU and Tzi-Dar CHIUH

For: ROBUST PERCEPTUAL SPEECH PROCESSING SYSTEM AND METHOD

Enclosed are:

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
- ☒ Applicant Claims Small Entity Status
- ☒ Specification, Claims, and Abstract (13 pages)
- ☒ Sheet(s) of drawing (☒ formal, ☐ informal)
- ☐ Form PTO-1595 and an assignment of the invention to: _____
- ☒ A certified copy of Taiwan Application No. 89114004 filed on July 13, 2000 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☒ will follow.
- ☐ An associate Power of Attorney.
- ☐ Combined Declaration and Power of Attorney.
- ☐ Information Disclosure Statement, Form PTO 1449, and ☐ cited references
- ☐ Request and Certification under 122(b)
- ☒ Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

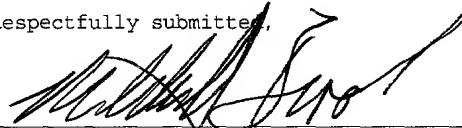
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Examiner: _____ Group/Art Unit: _____

- ☒ Check in the amount of \$435.00 to cover the filing fee is enclosed.
- ☐ Check in the amount of \$_____ to cover the Assignment Recordation Fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.
- A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Mitchell P. Brook

Registration No. 32,967

c/o BAKER & MCKENZIE
 101 West Broadway, 12th Floor
 San Diego, California 92101
 (619) 236-1441

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**435.00****Complete if Known**

Application Number	To be assigned
Filing Date	Filed Herewith
First Named Inventor	Linkai BU et al.
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	33542-4003

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-0410**Deposit Account Name **Baker & McKenzie**☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)**355.00****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
16	20** = 0	0	0
5	3** = 2	80	80.00

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description
103	18	203	9
102	80	202	40
104	270	204	135
109	80	209	40
110	18	210	9

SUBTOTAL (2) (\$)**80.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**0.00****SUBMITTED BY**Name (Print/Type) **Mitchell P. Brook**

Signature

Registration No.
(Attorney/Agent)**32,967****Complete (if applicable)**Telephone **(619) 236-1441**

Date

4/12/01**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Art Unit: To be assigned
Linkai BU et al.)	
)	Examiner: To be assigned
Serial No.: To be assigned)	
)	
Filed: Filed herewith)	
)	
For: ROBUST PERCEPTUAL SPEECH)	
PROCESSING SYSTEM AND)	
METHOD)	

San Diego, California 92101
July 12, 2001

BOX PATENT APPLICATION
Commissioner For Patents
Washington, D.C. 20231

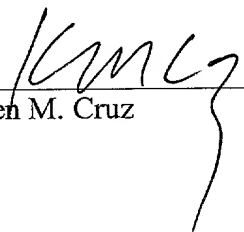
CERTIFICATE OF EXPRESS MAILING

Dear Sir:

"Express Mail" mailing label No. EL 904 934 997 US

Date of Deposit: July 12, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, check in the amount of \$435.00, Patent Application including the Specification, Abstract, and Claims (13 pages), 12 sheets of Formal Drawings (FIGS. 1-12) and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



Karen M. Cruz